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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | | Application No. | 10/797,364 | |
|---|---|---|---|--|--|
| | | | Filing Date | March 10, 2004 | |
| | | | First Named Inventor | Pieter Kruit | |
| | | | Art Unit | | |
| | | | Examiner Name | | |
| Total Number of F | Pages in This Submission | n 11 | Attorney Docket Number | 3531P014 | |
| ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal Form Drawing(s) | |) | After Allowance Communication to Group | | |
| Fee Attach | ned - | Licensing-r | elated Papers | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Re | sponse | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| After Fina Affidavits/ | l declaration(s) | Petition to C Provisional | Convert a Application | Proprietary Information | |
| Extension of Tim | e Request | Power of A Change of | ttorney, Revocation Correspondence Address | Status Letter | |
| Express Abandonment Request | | Disclaimer | Other Enclosure(s) (please identify below): | | |
| Information Disc | losure Statement | Request for | Refund | International Search Report; 2 prior art references; return | |
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| Response to Mis Incomplete Appli | ssing Parts/ cation | Remarks | | | |
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| | SIGNATUR | E OF APPLICAI | NT, ATTORNEY, OR AC | SENT | |
| Firm | Eric S. Hyman | , Reg. No. 30 | ,139 | | |
| <i>or</i> Individual name | BLAKELY, S | OKOLOFF, | TAYLOR & ZAFI | MAN LLP | |
| Signature | | | | | |
| Date | | |) 4/14/05 | | |
| | CERTIF | CATE OF MAIL | ING/TRANSMISSION | | |
| I hereby certify that this postage as first class m | correspondence is bein nail in an envelope addre | g deposited with the essed to: Commiss | ne United States Postal Service ioner for Patents, P.O. Box | ice on the date shown below with sufficient 1450, Alexandria, VA 22313-1450. | |
| Typed or printed na | ame Melissa Stea | | | | |
| Signature | Magle | 1 80 | 201 | Date U-15-05 | |

Complete if Known FEE TRANSMITT Application Number 10/797,364 for FY 2005 APR 1 9 2005 Filing Date March 10, 2004 First Named Inventor Patent fees are subject to annual revis Pieter Kruit **Examiner Name** Applicant claims small entity status. See 3 SFR 1.27. Art Unit TOTAL AMOUNT OF PAYMENT Attorney Docket No. 3531P014 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Small Entity Large Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath 2052 1052 50 ²⁵ Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month 1401 500 2401 ²⁵⁰ Notice of Appeal 2402 1402 500 ²⁵⁰ Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing 1451 1.510 2451 1,510 Petition to institute a public use proceeding 1460 2460 130 130 Petitions to the Commissioner 1807 50 1807 ⁵⁰ Processing fee under 37 CFR 1.17(g) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b))

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|---------------|--|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Eric S. Hyman | | Registration No. (Attorney/Agent) | 30,139 | Telephone | (310) 207-3800 |
| Signature | | | | | Date | 91905 |

SUBTOTAL (2)

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Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22316-1450

Other fee (specify)

Docket No.: 3531P014



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

he Application of:

PIETER KRUIT

Application No.: 10/797,364

Filed: March 10, 2004

For: Apparatus for Generating a Plurality of

Beamlets

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated January 18, 2005 (copy enclosed herewith) in a counterpart International application, which was forwarded to Applicant's Representative in a communication dated February 2, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

| Date: | cil i | 4/04 |
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Respectfully submitted,

BLAKELY SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

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| Substitute for form 1449A/PTO INFORMATION D STATEMENT BY (use as many sheets) | APRLICANT |
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| Complete if Known | | | | |
| Application Number | 10/797,364 | | | |
| Filing Date | March 10, 2004 | | | |
| First Named Inventor | Pieter Kruit | | | |
| Art Unit | | | | |
| Examiner Name | | | | |
| Attorney Docket Number | 3531P014 | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----------------------|---|---|--|---|--|--|--|
| Examiner Initials* | Cite No.1 | Document Number Number - Kind Code ² (if known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | |
| | | US-4996441 | 02-26-1991 | Lischke Burkard | | | | |
| | | US-5483074 | 01-09-1996 | True Richard | | | | |
| | | US-6124599 | 09-26-2000 | Muraki Masato | | | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner | Cite | Foreign Patent Document | | | Pages, Columns, Lines, | | | |
| Initials* No.1 | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T° | | |
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO 10/797,364 Application Number **INFORMATION DISCLOSURE** March 10, 2004 Filing Date Pieter Kruit First Named Inventor STATEMENT BY APPLICANT Art Unit (use as many sheets as necessary) **Examiner Name** 2 2 **Sheet** of Attorney Docket Number 3531P014

| | NON PATENT LITERATURE DOCUMENTS | | | | | |
|-----------------------|---------------------------------|---|----|--|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | | |
| | | Roelofs B J GM et al., "Feasibility of Multi-Beam Electron Lithography", Microelectric engineering, Elsevier Publishers BV, Amsterdam, NL, vol. 2, no. 4, Dec. 1984, pgs 259-279 | | | | |
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